

# **LUCKNOW PUBLIC COLLEGE OF PROFESSIONAL STUDIES**

## INTRODUCTION OF STOCK MARKET

## **Duration (40 Hours)**

Course Specific outcomes: The objective of this course is to familiarize the students with

- the conceptual background of securities market
- the concept and role of mutual funds
- the legal and regulatory framework
- fund distribution
- risk, return and performance of funds

#### Unit-I (10 hours)

Introduction to Investment: Financial goals of investors, Savings or investment? Investment risks, Risk Profiling, Understanding Asset Allocation, Mutual fund distributors- Kinds and importance, revenue, Legal and Regulatory Framework- Role and support function of service providers, Role of SEBI; Investor Grievance redress mechanism.

#### Unit-II (10 hours)

Scheme related information - Mandatory documents, non-mandatory disclosures; Fair valuation principles, Computation of Net Assets of mutual fund scheme and NAV; Concept of Entry and Exit and its impact on NAV; Key Accounting and Reporting requirements.

#### Unit-III (10 hours)

The NFO process, Investment plans and services, Allotment of Units to investor, Filling of Application form or mutual funds, Cut off time and time stamping, Financial transaction with mutual fund, KYC requirement, Systematic transactions.

### Unit-IV (10 hours)

General and specific risk factors, Drivers of Return and Risk in a Scheme, Measures of Risk, Provisions with respect to Credit Risk, Benchmark and Performance of Mutual Funds.

Mutual Fund Scheme Selection- investor need, preferences and risk profile, based on investment strategy of mutual funds; Do's and Don'ts while selecting mutual fund schemes.

(Mr. Chetan Khanna)

**Course Coordinator** 

Dean Academics Lucknow Pullege Blegge de Professional Studies

Vinamra Khand, Gomfi Nagar, Lic.

(Dr. Anil Singh)

Lucknow Public Cinksped Professional Studies

Vinamra Khand, Gomti Nagar, Lko